

Report to	Performance Scrutiny Committee
Date of meeting	19 March 2020
Lead Member/Officer	Julian Thompson-Hill, Lead Member for Finance, Performance & Assets/ Alan Smith, Head of Business Improvement & Modernisation
Report author	Iolo McGregor, Strategic Planning & Performance Team Leader
Title	Corporate Risk Register Review, February 2020

1. What is the report about?

1.1. An update on the February review of the Corporate Risk Register.

2. What is the reason for making this report?

2.1. This report is to update the Committee on the latest review of the Corporate Risk Register.

3. What are the Recommendations?

3.1. That Performance Scrutiny Committee considers the amendments to the Corporate Risk Register and comments accordingly.

4. Report details

4.1. The Corporate Risk Register is developed and owned by the Senior Leadership Team (SLT) alongside Cabinet. It is formally reviewed twice yearly by Cabinet at Cabinet Briefing.

4.2. Following each formal review, the revised register is presented to Performance Scrutiny Committee, and is shared with the Corporate Governance Committee.

4.3. The last review was undertaken in September 2019.

4.4. The Council's new risk appetite approach was adopted during the last review. This has now been applied to the discussions that we have had with risk owners, and is monitored through an additional column in appendix 2.

4.5. During this latest review, there have been quite a number of updates around risk descriptions, impacts / consequences, and actions. A couple of risks have been newly scored. These changes are all specified in appendix 2.

4.6. In summary:

- One new risk has been identified by SLT – **00045**: The risk that the Council is unable to deliver the agenda of Council and external organisations within existing resources. Details are contained in appendix 2.
- Risk **00011** (The risk of an ineffective response to a serious unexpected event) has been updated to reflect the current Covid19 outbreak. The inherent and residual severity has been rescored, increasing from D2 (Orange) to A2 (Red), and E2 (Yellow) to C3 (Yellow) respectively.
- No risks have been removed.
- Where there existed references to the Corporate Executive Team (CET), these have been removed or amended to the Senior Leadership Team (SLT) as appropriate. Similarly references to the previous Corporate Plan programme board structure have been updated to reflect changes there.
- Our risk concerning Health & Safety has now transferred from the Corporate Director for the Economy and Public Realm to the Head of Finance and Property, who has strategic responsibility for Health & Safety.
- Our risk concerning our statutory obligations under the Additional Learning Needs and Education Tribunal (Wales) Act 2018 has now transferred from the Corporate Director for Communities to the Head of Education & Children's Services.
- Where applicable, financial information has now been updated to reflect the latest settlement from Welsh Government.

- Throughout the register, positive updates and additions have been made around our controls in place, and in some cases further actions identified.
- The risk around Ash Dieback (identified from the September review) now has more detail, with new controls and further actions added. Now that the risk is getting to be better understood, the inherent and residual severity has been rescored, increasing from A2 (Red) to A1 (Red), and A3 (Orange) to A2 (Red) respectively.
- No risks have decreased in their severity.
- Risks 1, 6, 12, 21, 27, 33, 34, 36, 37 and 44 (see appendix 2) are currently inconsistent with the council's Risk Appetite Statement (appendix 3). These have been reviewed in discussions with risk owners, their current scorings being agreed as appropriate. This discrepancy justifies their inclusion to be monitored as corporate risks.

5. How does the decision contribute to the Corporate Priorities?

5.1. The purpose of the Corporate Risk Register is to identify the potential future events that may have a detrimental impact on the council's ability to deliver its objectives, including its corporate priorities. The identified controls and actions are therefore crucial to the delivery of the corporate priorities.

6. What will it cost and how will it affect other services?

6.1. The cost of developing, monitoring and reviewing the Corporate Risk Register is absorbed within existing budgets.

7. What are the main conclusions of the Well-being Impact Assessment?

7.1. This Corporate Risk Register documents identified risks and mitigating actions. The process of developing and reviewing the document itself does not impact adversely on any of the well-being goals. However, any new process, strategy or policy arising as a result of a mitigating action will probably require a well-being impact assessment.

8. What consultations have been carried out with Scrutiny and others?

- 8.1. Prior to SLT, individual discussions have been held with Risk Owners (Chief Executive Officer, Corporate Directors, Head of Finance & Property, and Head of Highways, Facilities & Environmental Services), also including input from the Head of Legal, HR & Democratic Services and the Chief Internal Auditor.
- 8.2. The register is then discussed with SLT and Cabinet to agree and/or make further amendments.
- 8.3. The updated register is then considered by Performance Scrutiny Committee, and shared with the Corporate Governance Committee.

9. Chief Finance Officer Statement

- 9.1. There are no financial implications arising from developing, monitoring and reviewing the Corporate Risk Register.

10. What risks are there and is there anything we can do to reduce them?

- 10.1. There are no risks associated with this review.

11. Power to make the decision

- 11.1. Local Government Act 2000.
- 11.2. Section 7.2.1 of the Council's Constitution stipulates that Scrutiny should review or scrutinise decisions made or actions taken in connection with the discharge of any of the Council's functions whether by Cabinet or another part of the Council.